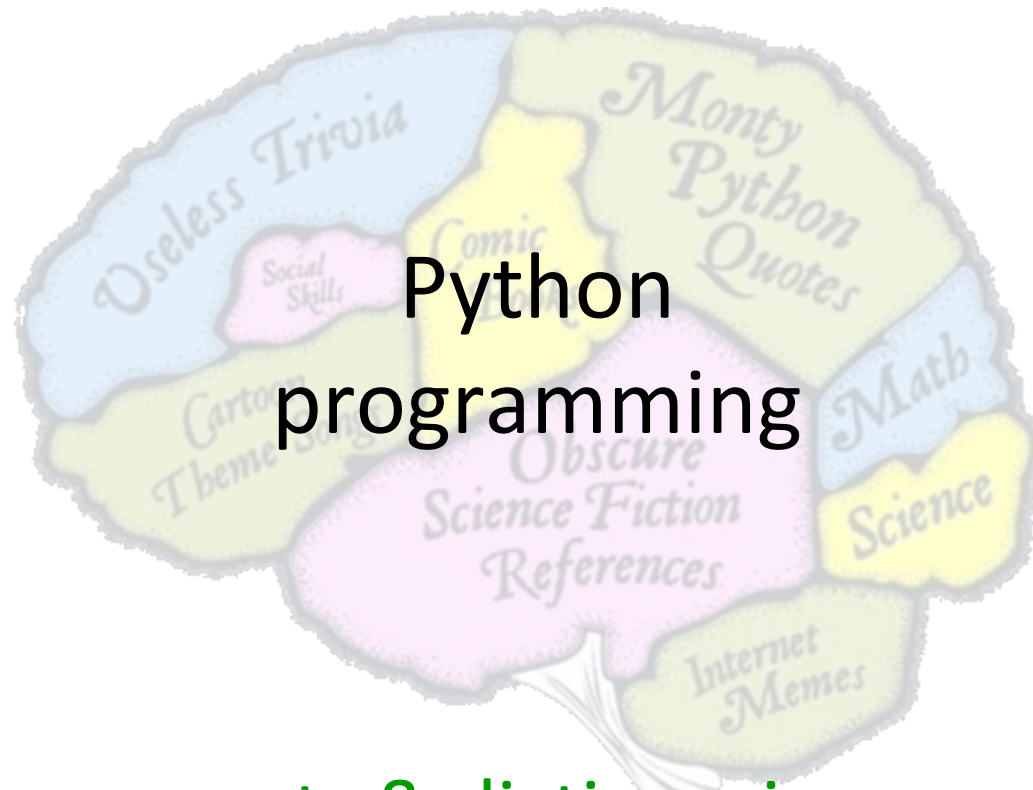


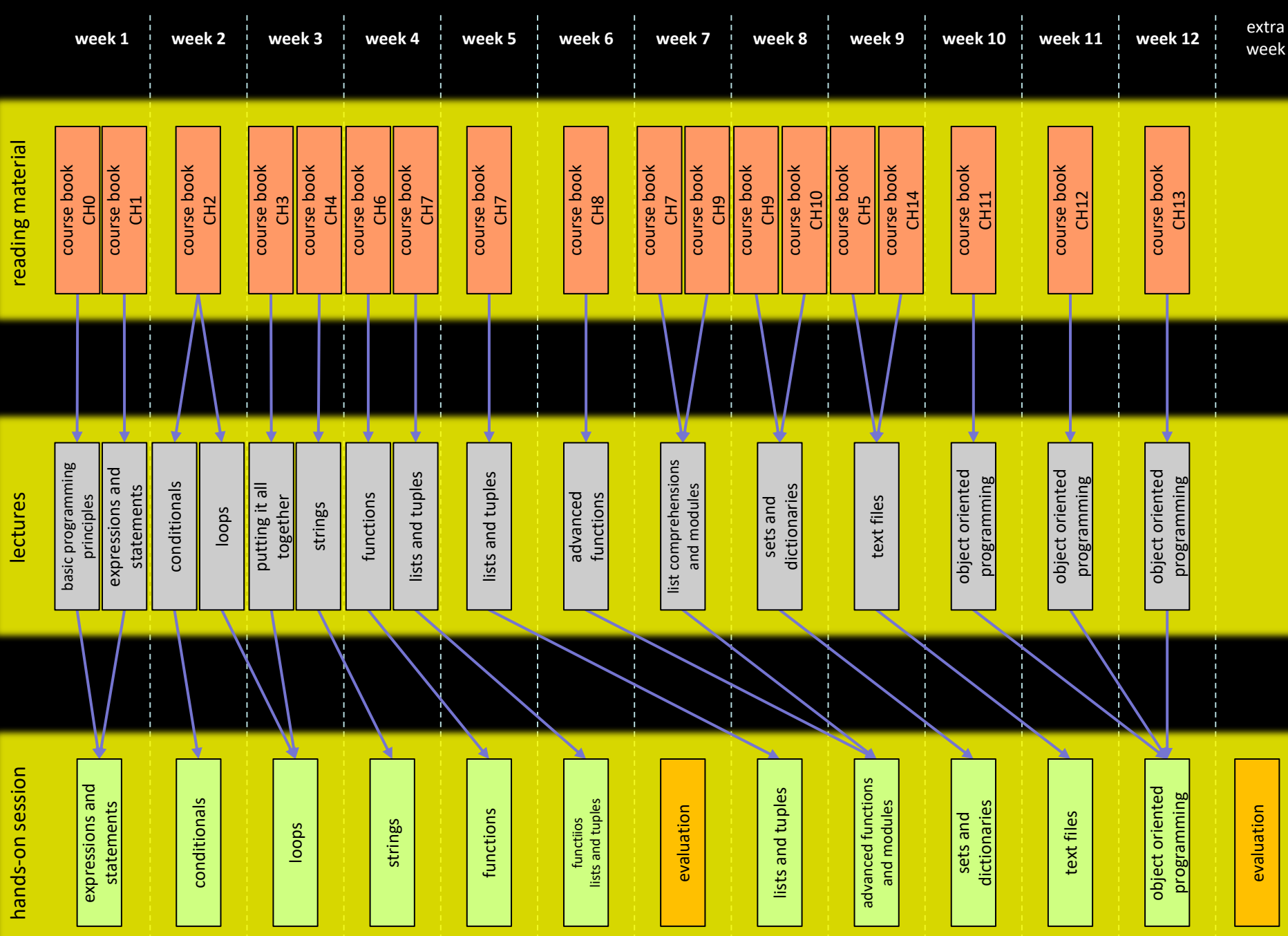


sets & dictionaries

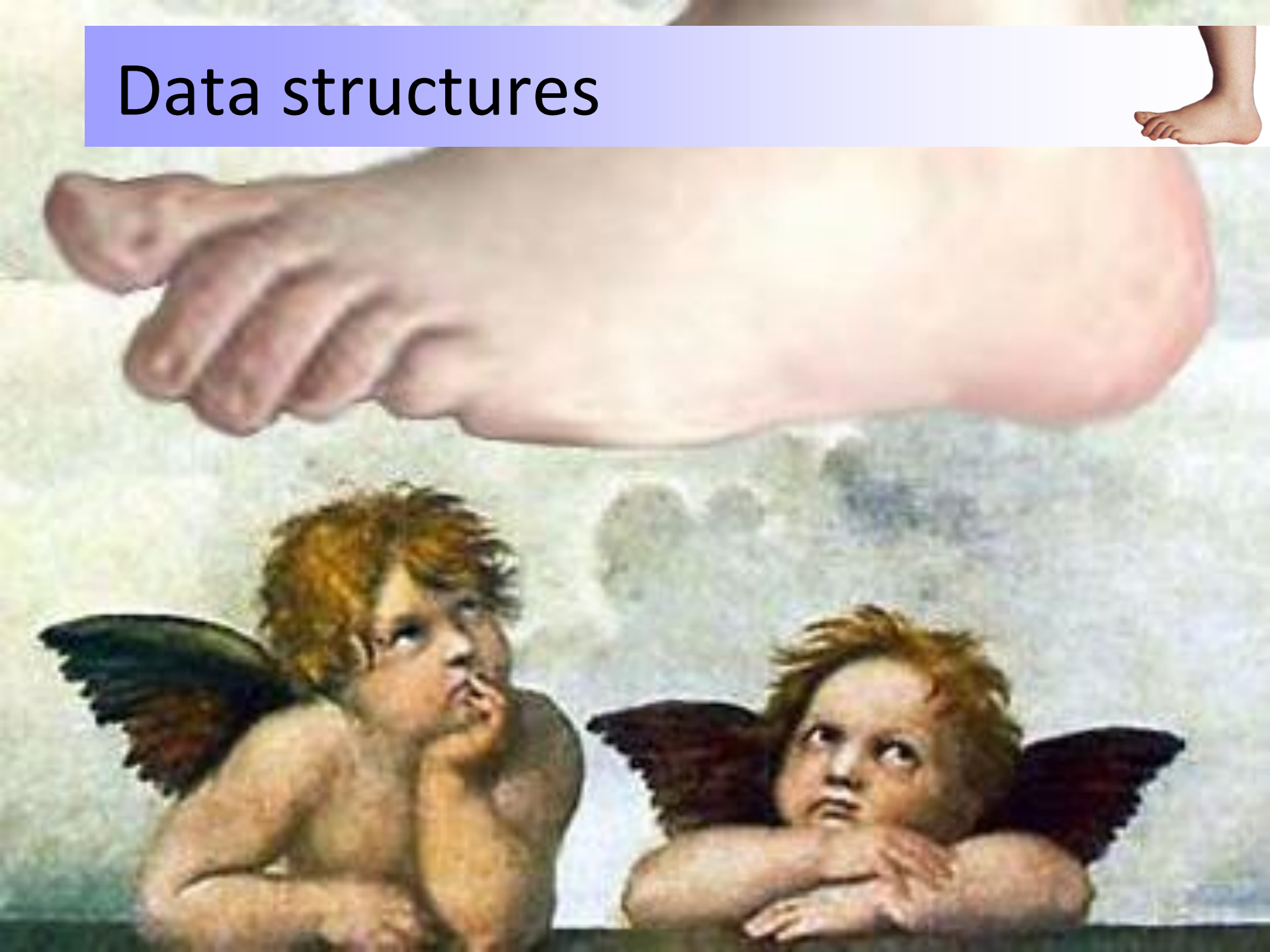
Prof. Dr. Peter Dawyndt

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🐦 [@dawyndt](https://twitter.com/dawyndt)



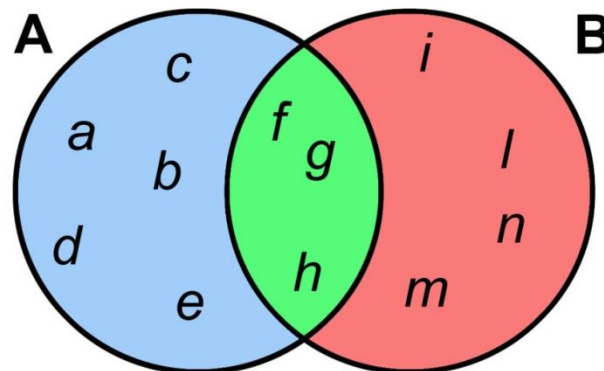
Data structures



Data structures



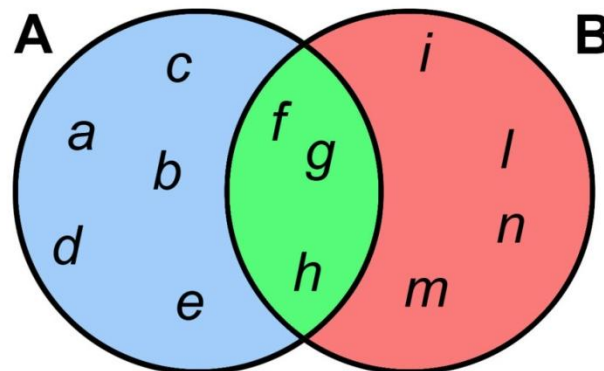
- the world is *not* only made of lists
 - just because it's the first data structure we have discussed, doesn't make it the right one for every task
 - this lecture introduces two other fundamental data structures
 - they will allow you to create programs that are simpler and more efficient
 - we will also discuss what "efficient" really means
- first new data structure: sets



Sets



- **set**: unordered collection of distinct objects
 - unordered: objects are looked up by **value**, rather than **location**
 - distinct: any object appears at most once
- fundamental concept in mathematics
 - an afterthought in most programming languages
 - but essential in problem solving



Sets



- **set** data type is built into Python
 - create a new set by calling **set()** or **{e1, e2, ...}**
 - insert and remove objects, test for membership, ...
 - **set(i)** converts *iterable object i* into a set

```
>>> vowels = set()
>>> for character in 'aieoeiaoaieieou':
...     vowels.add(character)
...
>>> vowels
{'a', 'i', 'e', 'u', 'o'}
>>> set('unconsciousness')
{'c', 'e', 'i', 'o', 'n', 's', 'u'}
>>> {x // 2 for x in range(10)}
{0, 1, 2, 3, 4}
```

Set operations



- like other objects, sets have methods
 - following methods change set *in place* and return value **None**

```
>>> lows = {0, 1, 2, 3, 4}
>>> lows.remove(0)           # remove an element
>>> lows
{1, 2, 3, 4}
>>> lows.add(3)              # add an element
>>> lows.add(9)
>>> lows
{1, 2, 3, 4, 9}
>>> lows.clear()            # remove all elements
>>> lows
set()
```



Set operations

- like other objects, sets have methods
 - following methods return new set

```
>>> tens = set(range(10))
>>> lows = {0, 1, 2, 3, 4}
>>> odds = {1, 3, 5, 7, 9}
>>> lows.difference(odds)           # difference
{0, 2, 4}
>>> lows
{0, 1, 2, 3, 4}
>>> odds.difference(lows)          # asymmetric
{9, 5, 7}
>>> lows.symmetric_difference(odds) # symmetric
{0, 2, 4, 5, 7, 9}
```

Set operations

- like other objects, sets have methods
 - following methods return new set
 - can also be expressed using operators

```
>>> tens = set(range(10))
>>> lows = {0, 1, 2, 3, 4}
>>> odds = {1, 3, 5, 7, 9}
>>> lows - odds                                     # difference
{0, 2, 4}
>>> lows
{0, 1, 2, 3, 4}
>>> odds - lows                                     # asymmetric
{9, 5, 7}
>>> lows ^ odds                                     # symmetric
{0, 2, 4, 5, 7, 9}
```

Set operations



- like other objects, sets have methods
 - following methods return new set

```
>>> tens = set(range(10))
>>> lows = {0, 1, 2, 3, 4}
>>> odds = {1, 3, 5, 7, 9}
>>> lows.intersection(odds)           # intersection
{1, 3}
>>> lows.union(odds)                  # union
{0, 1, 2, 3, 4, 5, 7, 9}
>>> lows.issubset(tens)                # subset
True
>>> lows.issuperset(odds)              # superset
False
```

Set operations



- like other objects, sets have methods
 - following methods return new set
 - can also be expressed using operators

```
>>> tens = set(range(10))
>>> lows = {0, 1, 2, 3, 4}
>>> odds = {1, 3, 5, 7, 9}
>>> lows & odds                                # intersection
{1, 3}
>>> lows | odds                                # union
{0, 1, 2, 3, 4, 5, 7, 9}
>>> lows <= tens                               # subset
True
>>> lows >= odds                               # superset
False
```

Iterating sets

- sets are *iterable objects*

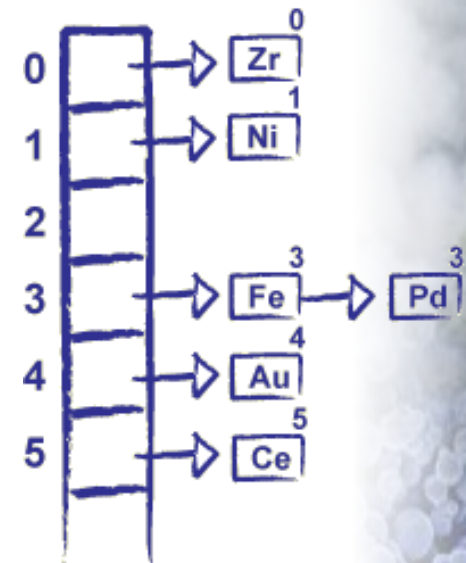
```
>>> monty_python = ['Graham Chapman', 'Eric Idle',  
...                 'Terry Gilliam', 'Terry Jones',  
...                 'John Cleese', 'Michael Palin']  
>>> firstnames = [v for v, f in  
...               [name.split() for name in monty_python]]  
>>> firstnames  
['Graham', 'Eric', 'Terry', 'Terry', 'John', 'Michael']  
>>> unique_names = set(voornamen)  
>>> for name in unique_names:  
...     print(name, end=' ')  
...  
Michael John Graham Eric Terry
```



How set values are stored

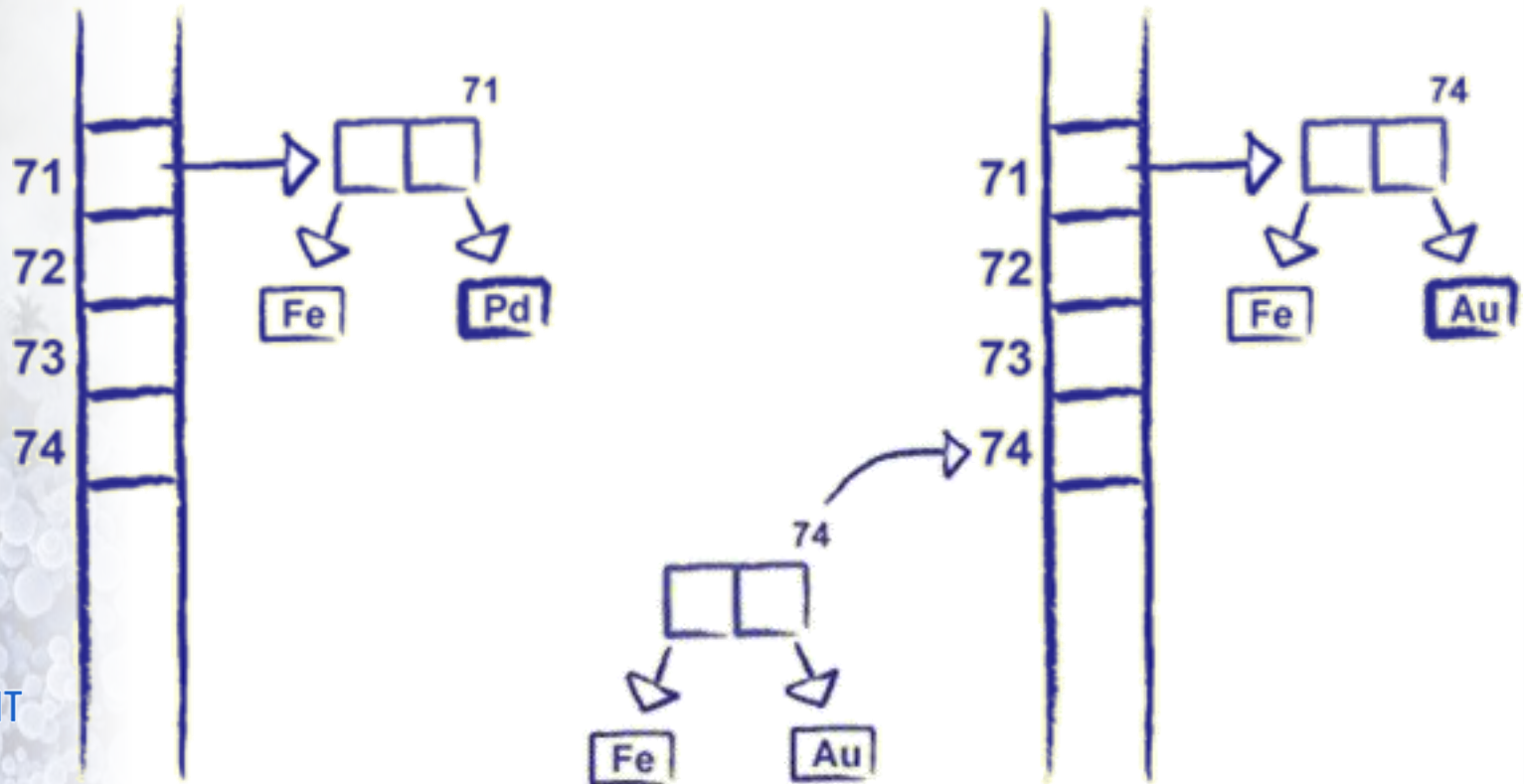


- implementation goal
 - make lookup as quick as possible
 - without making insertion and removal expensive
- possible solution: *hash table*
 - compute a hash value for every object being inserted
 - store object at that location in list
 - collisions → objects grouped in sublist
 - good hash function → collisions will be rare
 - result: looking up an object takes *constant time*, regardless of how many objects are being stored



Immutability

- this only works if the hash value of an object never changes after it is inserted
 - otherwise the object will be stored in the wrong place



Immutability



- this only works if the hash value of an object never changes after it is inserted
 - otherwise the object will be stored in the wrong place
 - Python therefore only allows sets to contain immutable objects
 - booleans, numbers, strings, tuples, ...
 - ... but **not** lists

```
>>> monty_python = set()
>>> monty_python.add('Graham Chapman')
>>> monty_python
{'Graham Chapman'}
>>> monty_python.add(('Eric', 'Idle'))
>>> monty_python
{'Graham Chapman', ('Eric', 'Idle')}
>>> monty_python.add(['Terry', 'Gilliam'])
TypeError: unhashable type: 'list'
```

Immutability



- this only works if the hash value of an object never changes after it is inserted
 - otherwise the object will be stored in the wrong place
 - Python therefore only allows sets to contain immutable objects
 - this is one of the reasons tuples were invented
 - allows hashing of multi-part objects

```
>>> monty_python = set()
>>> monty_python.add('Graham Chapman')
>>> monty_python
{'Graham Chapman'}
>>> monty_python.add(('Eric', 'Idle'))
>>> monty_python
{'Graham Chapman', ('Eric', 'Idle')}
>>> monty_python.add(['Terry', 'Gilliam'])
TypeError: unhashable type: 'list'
```

Frozen sets



- what about sets of sets?
 - sets themselves have to be mutable, so that values can be inserted and removed
- Python also provides "frozen" sets
 - no changes allowed after creation
 - they're almost always initialized from a collection of some kind

```
>>> monty_python = set()
>>> terries = frozenset(['Terry Jones', 'Terry Gilliam'])
>>> monty_python.add(terries)
>>> monty_python
set([frozenset(['Terry Gilliam', 'Terry Jones'])])
>>> terries.add('Michael Palin')
AttributeError: 'frozenset' object has no attribute 'add'
```

A note on language design



- many languages (but not Python) allow mutable elements in sets
 - users are trusted not to modify them after insertion
 - rich source of hard-to-find-bugs
- some languages (but not Python) keep track of all sets an object is in
 - "move" them when their values change
 - makes all programs slow for the benefit of a few
- every software system contains tradeoffs like this



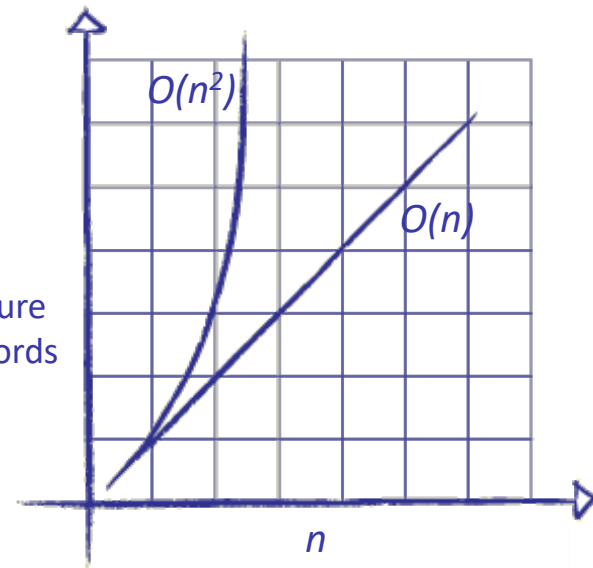
Efficiency

- so is using sets worthwhile?
 - construct data structure with n unique words

```
if word in words
```

- **`type(words) == list`**
 - each check requires $n/2$ comparisons on average
 - building up those n unique words thus requires $n(n+1)/4$ comparisons
- **`type(words) == set`**
 - build set with n unique words only takes n comparisons
 - difference is dramatic

build data structure
with n unique words



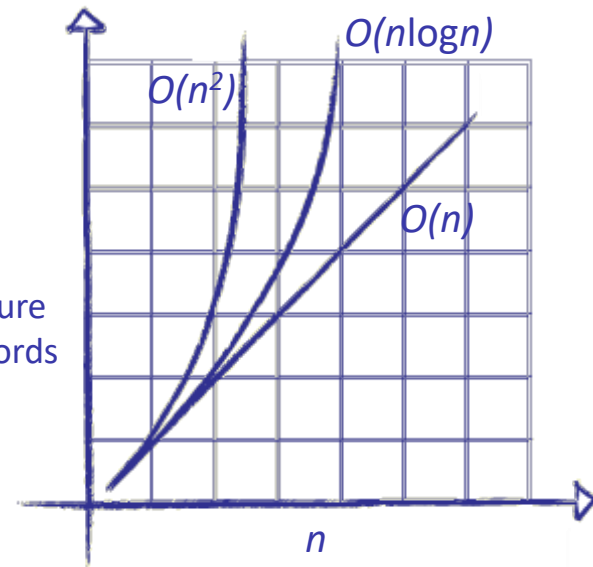
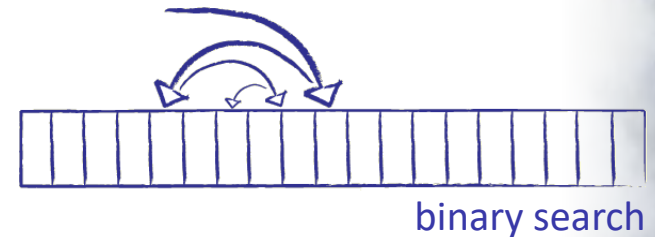
Efficiency

- so is using sets worthwhile?
 - construct data structure with n unique words

```
if word in words
```

➤ `type(words) == list`

- can get better performance if we keep list sorted
- k checks is enough to find an object in a list of length 2^k
 - ❑ list containing n objects can be searched in $\log_2 n$ steps
 - ❑ building list of n unique words takes $n \log_2 n$ comparisons

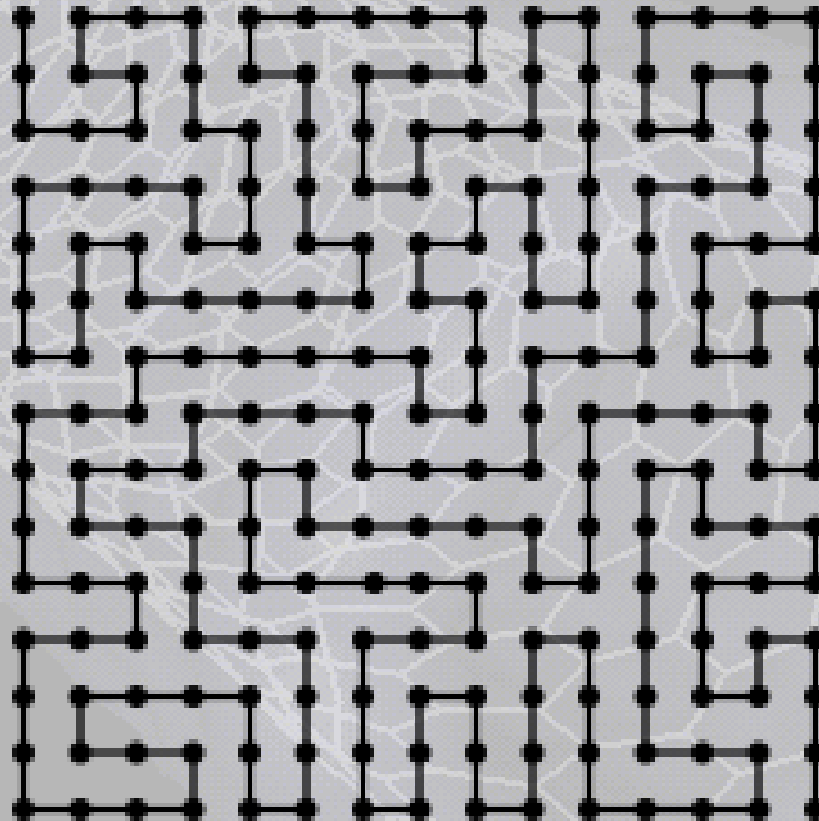


Algorithmic complexity

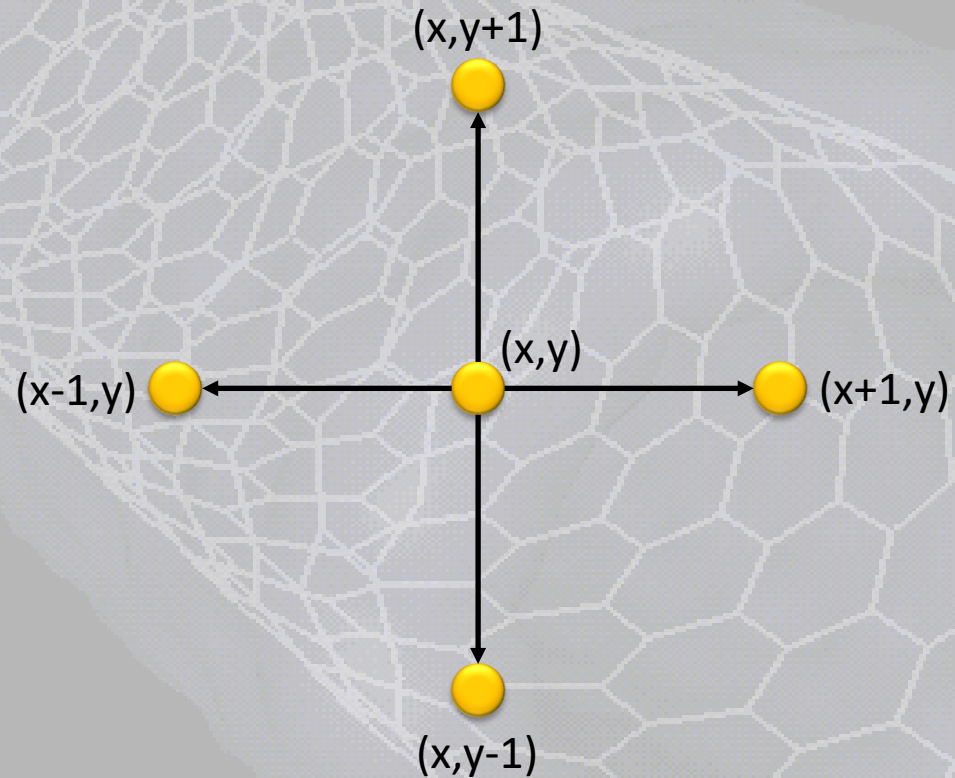


- algorithmic complexity
 - relationship between problem size and running time
 - usually described in terms of upper bounds
 - if $f(x) < kg(x)$ for large x and some constant k , then $f(x)$ is $O(g(x))$
- for example
 - $O(1)$ something that takes the same time regardless of the data size
 - $O(\log n)$ time grows as the logarithm of the data size
 - $O(n)$ time grows proportional to the number of objects
- storing unique words in a list is $O(n^2)$
 - $n(n+1)/4 = n^2/4 + n/4 \sim n^2$ as n grows large

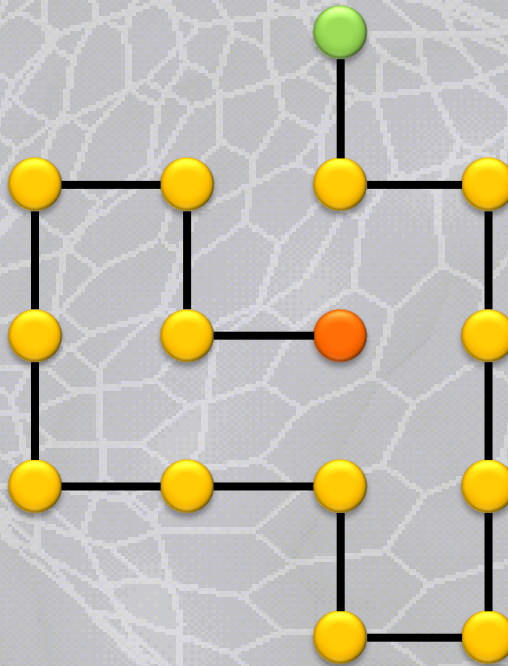
Simulating polymers



Simulating polymers



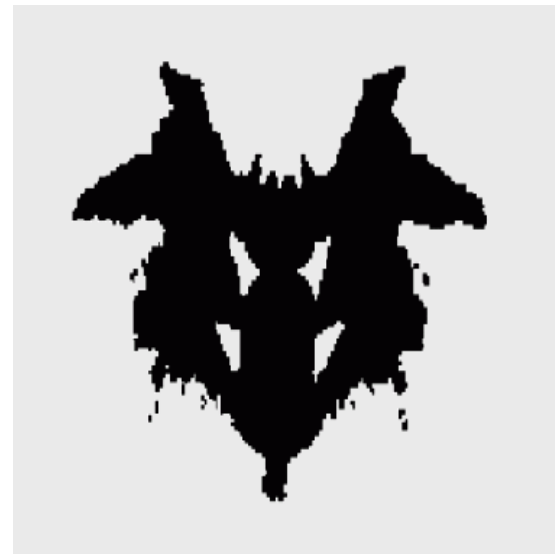
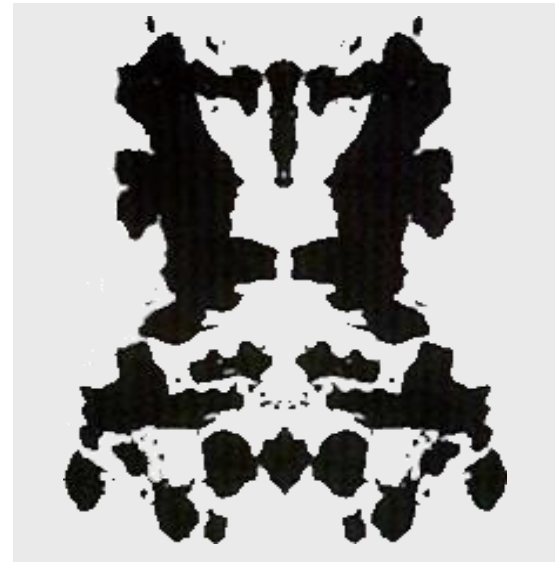
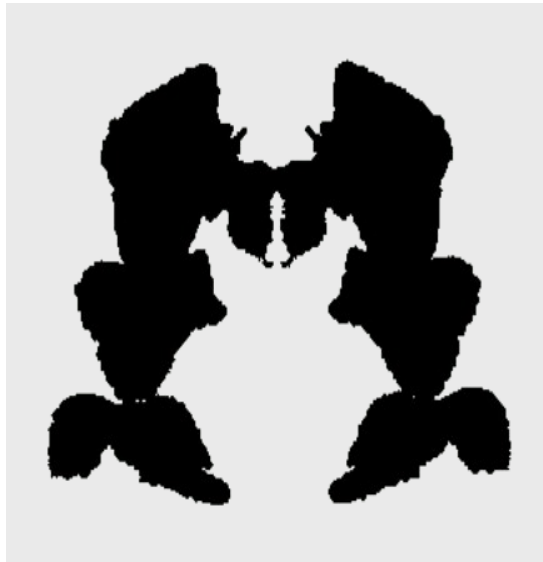
Simulating polymers



Dictionaries



Human associations



UNIVERSITEIT
GENT

Human associations



APPLE

What are you associating this word with ?

Did you think about ...



delicious

fruit



GREEN



UNIVERSITEIT
GENT

... or rather about?



UNIVERSITEIT
GENT

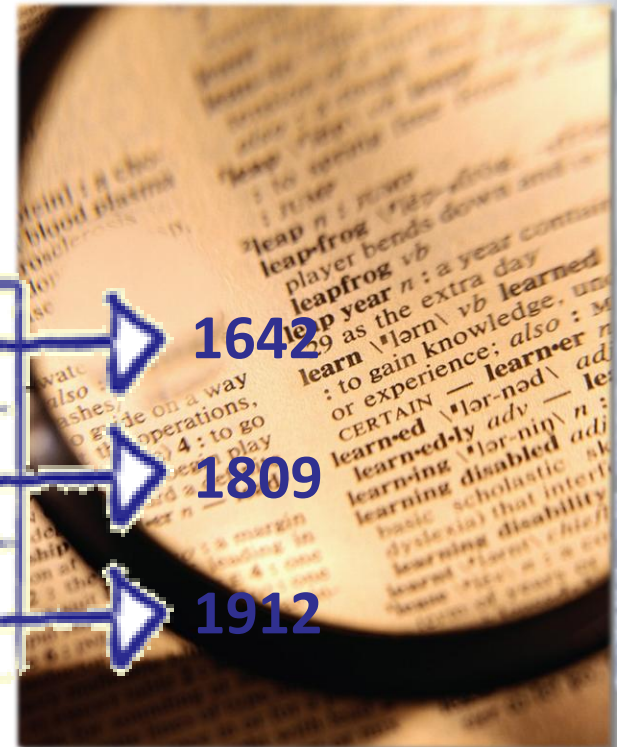
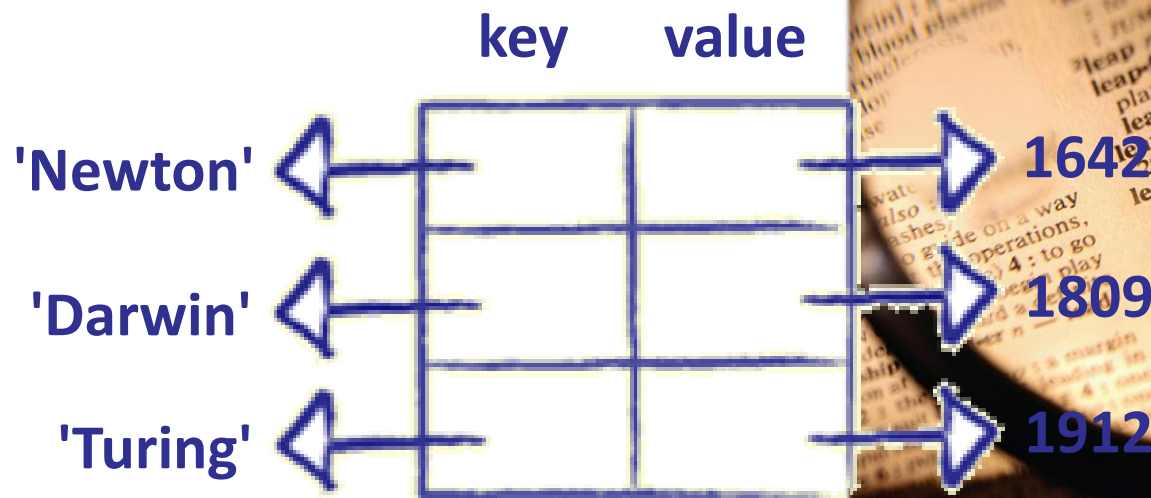
Logos after financial crisis



Dictionaries



- **motivation:** store extra data with each element of a set
- **dictionary:** unordered mutable collection
 - not a sequence but a *mapping*
 - associates one *value* with each of its *keys*
 - synonyms: map, hash, associative array
 - often visualized as two-column table



Creating and indexing



- create a dictionary by putting key/value pairs inside { and }
 - empty dictionaries are written as { }
- look up value associated with a key using [and]
 - only existing keys can be accessed
 - just as you can't index elements of a list that aren't there

```
>>> birthday = {'Newton':1642, 'Darwin':1809}
>>> f"Darwin's birthday: {birthday['Darwin']}"
Darwin's birthday: 1809
>>> f"Newton's birthday: {birthday['Newton']}"
Newton's birthday: 1642
>>> f"Turing's birthday: {birthday['Turing']}"
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
KeyError: 'Turing'
```

Updating



- associating an object to a key
 - key does not exist: create a new key/value pair
 - key does exist: overwrite value associated with key
- remove entry: **del d[key]**
 - only entries that are actually present can be removed

```
>>> birthday = {}
>>> birthday['Darwin'] = 1809
>>> birthday['Newton'] = 1942          # oops
>>> birthday['Newton'] = 1642
>>> birthday['Turing'] = 1912
>>> birthday
{'Turing': 1912, 'Darwin': 1809, 'Newton': 1642}
>>> del birthday['Turing']
>>> birthday
{'Darwin': 1809, 'Newton': 1642}
>>> del birthday['Faraday']
KeyError: 'Faraday'
```

Testing membership



- `k in d`
 - tests whether a key `k` occurs in dictionary `d`
 - once again, inconsistent with behaviour of lists, but useful

```
>>> birthday = {'Newton':1642, 'Darwin':1809}
>>> for name in ['Newton', 'Turing']:
...     if name in birthday:
...         print(name, birthday[name])
...     else:
...         print(f'Who is {name} ?')
...
Newton 1642
Who is Turing ?
```



Loops



- **for s in d**
 - iterates the keys of dictionary **d** (rather than its values)
 - different from lists, where **for** loops over the values, rather than the indices

```
>>> birthday = {  
...     'Newton' : 1642,  
...     'Darwin' : 1809,  
...     'Turing' : 1912  
... }  
>>> for name in birthday:  
...     print(name, birthday[name])  
...  
Turing 1912  
Newton 1642  
Darwin 1809
```

Dictionary methods



- yes, dictionaries are objects too ...

```
>>> birthday = {'Newton':1642, 'Darwin':1809, 'Turing':1912}
```

method	purpose	example	result
clear	empty the dictionary	<code>d.clear()</code>	returns None , but d is now empty
get	return the value associated with a key, or a default value if the key is not present	<code>d.get('x', 99)</code>	returns <code>d['x']</code> if 'x' in <code>d</code> , or <code>99</code> if it is not
keys	return the dictionary's keys as a list; entries are guaranteed to be unique	<code>birthday.keys()</code>	<code>['Turing', 'Newton', 'Darwin']</code>
values	return the dictionary's values as a list; entries may or may not be unique	<code>birthday.values()</code>	<code>[1912, 1642, 1809]</code>
items	return a list of key/value pairs as an iterable of tuples	<code>birthday.items()</code>	<code>[('Turing', 1912), ('Newton', 1642), ('Darwin', 1809)]</code>
update	copy keys and values from one dictionary into another	<code>d2 = {}</code> <code>d2.update(d)</code>	

Dictionary methods



```
>>> birthday = {
...     'Newton' : 1642,
...     'Darwin' : 1809,
...     'Turing' : 1912
... }
>>> f'keys: {list(birthday.keys())}'
keys: ['Turing', 'Newton', 'Darwin']
>>> f'values: {list(birthday.values())}'
values: [1912, 1642, 1809]
>>> f'items: {list(birthday.items())}'
items: [('Turing', 1912), ('Newton', 1642), ('Darwin', 1809)]
>>> f'get: {birthday.get('Curie', 1867)}'
get: 1867
>>> temp = {'Curie':1867, 'Hopper':1906, 'Franklin':1920}
>>> birthday.update(temp)
>>> birthday
{'Curie': 1867, 'Darwin': 1809, 'Franklin': 1920,
 'Turing': 1912, 'Newton': 1642, 'Hopper': 1906}
>>> temp.clear()
>>> temp
{}
```



Cryptograms



C h _ l d _ _ _ _ _ o w

Q m v r My b w l at s f g n a t i o n x w p k d

f a s _ _ _ _ _ _ _ _ _ _

i o p z l w _ v f z m l

_ p _ i _ g t _ k e .

p c w v f x z v y l .



Histogram construction



observations1.py

```
# birds that need to be counted
birds = ['tern', 'goose', 'goose', 'hawk',
         'tern', 'goose', 'tern']

# build a dictionary of frequencies
observations = {}
for bird in birds:
    if bird in observations:
        # already seen, so increment by 1
        observations[bird] += 1
    else:
        # never seen before, so add to dictionary
        observations[bird] = 1

# display dictionary
print(observations)
```

```
{ 'hawk': 1, 'goose': 3, 'tern': 3 }
```



A slight simplification



observations2.py

```
# birds that need to be counted
birds = ['tern', 'goose', 'goose', 'hawk',
         'tern', 'goose', 'tern']

# build a dictionary of frequencies
observations = {}
for bird in birds:
    # dict.get: fetch bird observations
    # with default value 0
    observations[bird] = observations.get(bird, 0) + 1

# display dictionary
print(observations)
```

```
{'hawk': 1, 'goose': 3, 'tern': 3}
```



Imposing order



- a dictionary's keys are unordered (~ set elements)
 - remember, sets randomize (hash) their elements for fast lookup
 - so, to print counts in alphabetic order
 - get the list of keys
 - sort that list
 - loop over it

```
# display dictionary in alphabetic order
birds = list(observations.keys())
birds.sort()
for bird in birds:
    print(bird, observations[bird])
```

```
goose 3
hawk 1
tern 3
```

Imposing order



- a dictionary's keys are unordered (~ set elements)
 - remember, sets randomize (hash) their elements for fast lookup
 - so, to print counts in alphabetic order
 - get the list of keys
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```
# display dictionary in alphabetic order
```

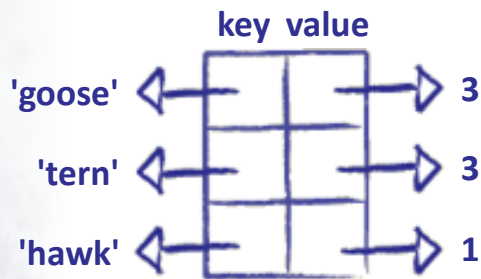
```
for bird in sorted(observations):  
    print(bird, observations[bird])
```

```
goose 3  
hawk 1  
tern 3
```

Inverting a dictionary



- but how to print in order of frequency ?
 - need to invert dictionary: swap keys and values



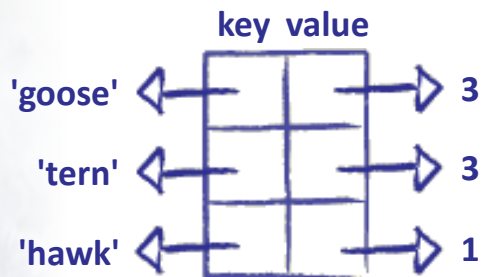
observations



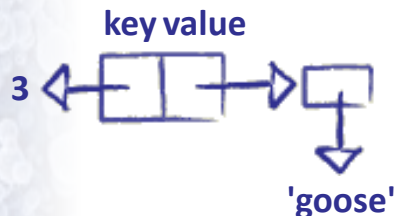
Inverting a dictionary



- but how to print in order of frequency ?
 - need to invert dictionary: swap keys and values
 - there might be collisions, since values aren't necessarily unique
 - what is the inverse of {'a':1, 'b':1, 'c':1} ?



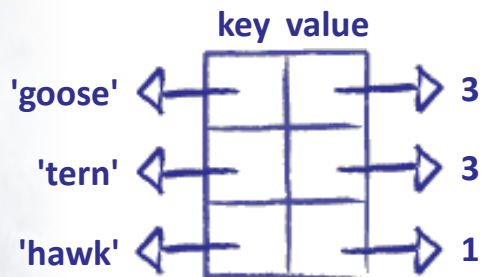
observations
inverse



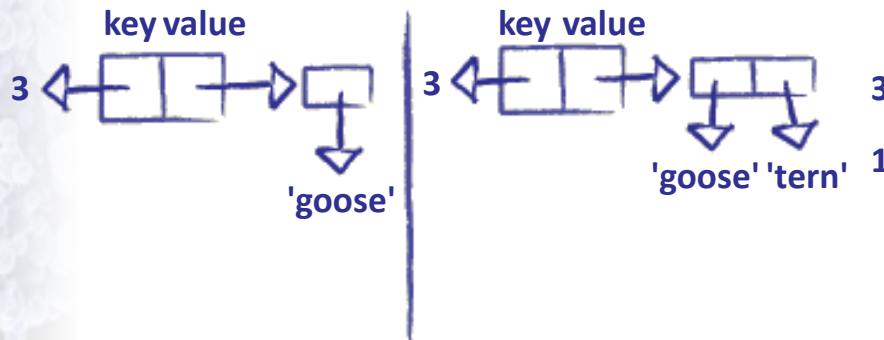


Inverting a dictionary

- but how to print in order of frequency ?
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 - what is the inverse of {'a':1, 'b':1, 'c':1} ?
 - solution: store a list of values instead of just a single value
 - use `dict.get(key, [])` instead of `dict.get(key, 0)`



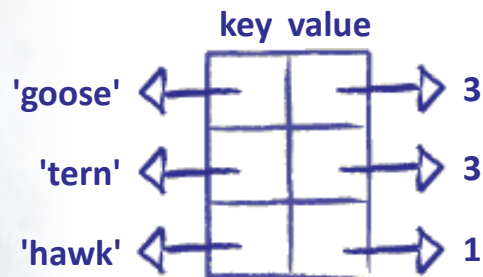
observations
inverse



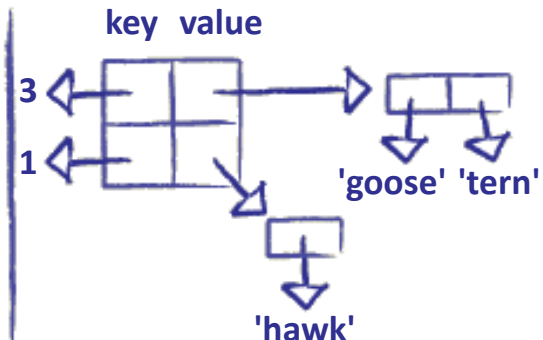
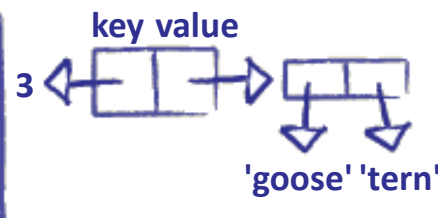
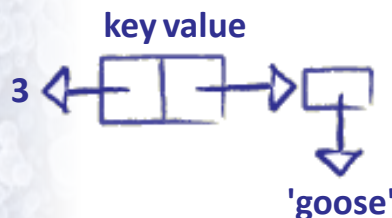


Inverting a dictionary

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 - use `dict.get(key, [])` instead of `dict.get(key, 0)`



observations



inverse



Inverting a dictionary



- but how to print in order of frequency ?
 - need to invert dictionary: swap keys and values

```
# invert dictionary
inverse = {}
for key, value in observations.items():
    birds = inverse.get(value, [])
    birds.append(key)
    inverse[value] = birds

# display dictionary in sorted order
for count in sorted(inverse):
    print(count, inverse[count])
```

```
1 ['hawk']
3 ['goose', 'tern']
```

Another way to do it



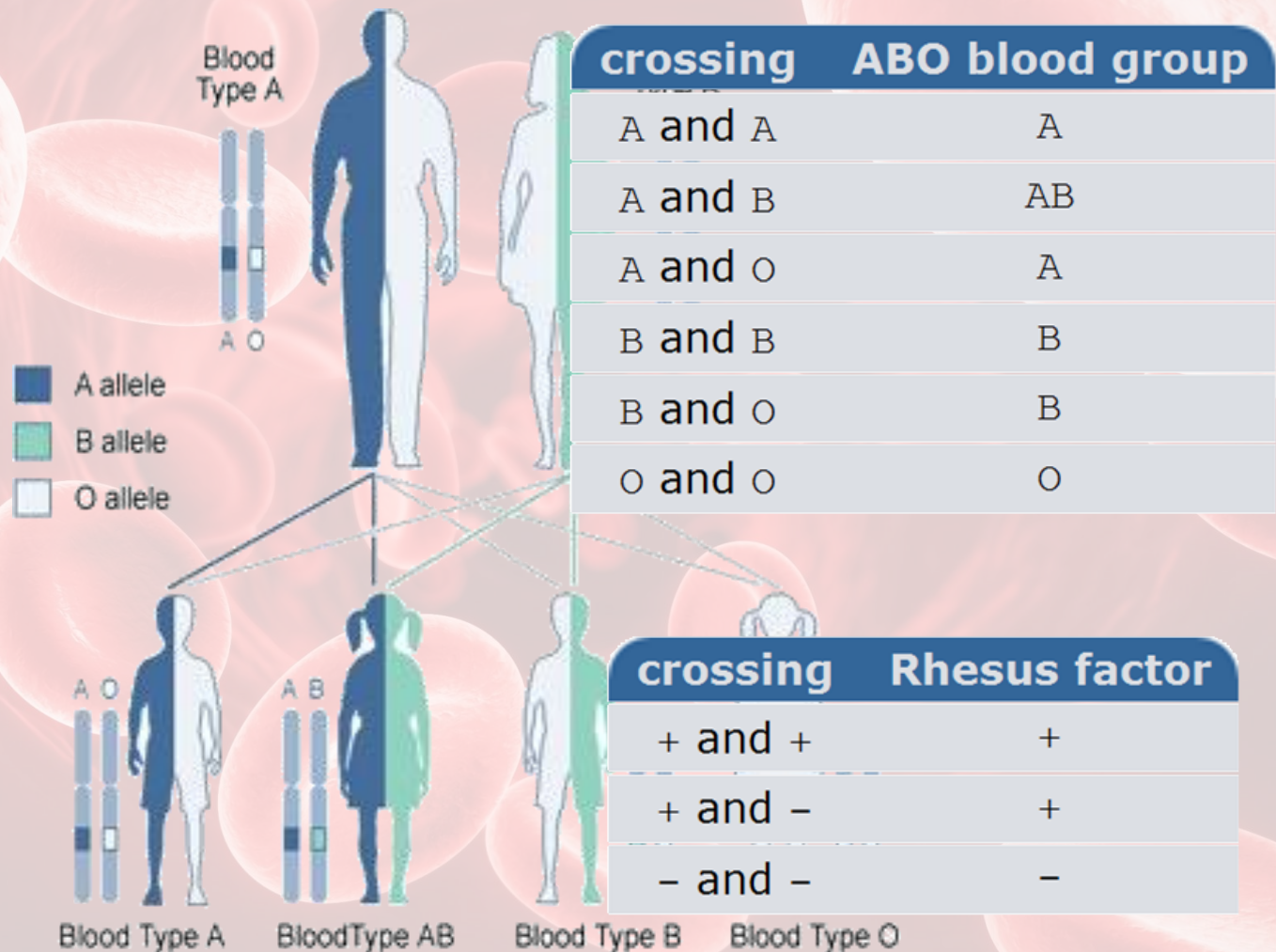
- but how to print in order of frequency?
 - need to invert dictionary: swap keys and values

```
# invert dictionary
inverse = {}
for key, value in observations.items():
    if value not in inverse:
        inverse[value] = []
    inverse[value].append(key)

# display dictionary in sorted order
for count in sorted(inverse):
    print(count, inverse[count])
```

```
1 ['hawk']
3 ['goose', 'tern']
```

Blood types



Formatting strings with dicts



- complex string formatting can be hard to read
 - especially if one value needs to be used several times
 - use keyword arguments with string method **format**
 - use **{key}** inside format string to identify what has to be substituted at this position

```
birthday = {'Newton':1642, 'Darwin':1809, 'Turing':1912}
opmaak = '{name}: {year}'
for name, year in birthday.items():
    print(opmaak.format(name=name, year=year))
```

```
Turing: 1912
Newton: 1642
Darwin: 1809
```



Additional keyword arguments



- the ****** before **keywords** means
 - Do you have any Limburger ?
 - put additional keyword arguments in dictionary **keywords**
 - I'm sorry, we're all out of Limburger
- supports functions with variable number of arguments

```
client : John Cleese
def cheeseshop(cheese, **keywords):
    shopkeeper : Michael Palin
    print(f'-- Do you have any {cheese} ?')
    sketch : Cheese Shop Sketch
    print(f"-- I'm sorry, we're all out of {cheese}")

    print('-' * 40)
    for key in sorted(keywords):
        print(f'{key}: {keywords[key]}')

cheeseshop(
    'Limburger',
    client='John Cleese',
    shopkeeper='Michael Palin',
    skecth='Cheese Shop Sketch'
)
```



Additional positional arguments



- the ***** before **arguments** means
 - put additional positional arguments in tuple **arguments**
- there may be only one ***** per function

```
def cheeseshop (cheese, *arguments, **keywords):
    -- print('--- Do you have any {cheese}?',
    print('I'm sorry, we're all out of {cheese}'))
    It's for argument, in arguments: sir.
    ----- print(argument) -----
    client = 'John Cleese'
    shopkeeper = 'Michael Palin'
    sketch : print(f'{key} {keywords[key]}')
```

```
cheeseshop (
    'Limburger',
    "It's very runny, sir.",
    "It's really very, VERY runny, sir.",
    client='John Cleese',
    shopkeeper='Michael Palin',
    skecth='Cheese Shop Sketch'
)
```



Homework (next lecture)



- *course book*
 - *read chapter 5 (files and exceptions)*
 - *read chapter 14 (files and exceptions II)*
- *classroom exercises (series 09)*
 - *Alphabetic encoding*
 - *Cellophane*
 - *World Cup soccer*

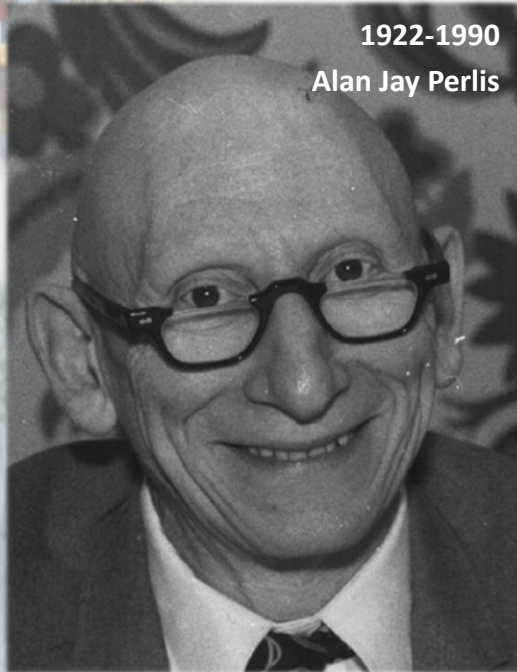


Questions or remarks?



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The sky is the limit...



1922-1990
Alan Jay Perlis

"If a listener nods his head when you're explaining your program, wake him up."

— Alan J. Perlis



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